Hybrid Spatial Modeling of Non-Stationary Process Variations

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ABSTRACT
Accurate characterization of spatial variation is essential for statistical performance analysis and modeling, post-silicon tuning, and yield analysis. Existing approaches for spatial modeling either assume that: (i) non-stationarities exist due to a smoothly varying trend component or that (ii) the process is stationary within regions associated with a predefined grid. While such assumptions may hold when profiling certain classes of variations, many studies now suggest that spatial variability is likely to be highly non-stationary. In order to provide spatial models for non-stationary process variations, we introduce a new hybrid spatial modeling framework that models the spatially varying random field as a union of non-overlapping rectangular regions where the process is assumed to be locally-stationary. To estimate the parameters in our hybrid spatial model, we introduce a host of techniques for efficient detection of regions over which the process variations are locally-stationary. We verify our models and results on measurements collected from 65nm FPGAs.

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Algorithms, Measurement

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1. INTRODUCTION
Over the past few decades, scaling of CMOS to nanometer feature sizes has been the main driving force behind improvements in the performance and functionality of integrated circuits. Despite the obvious advantages of miniaturization of ICs, nanoscale devices exhibit a considerable amount of process variations, causing the device features and performance metrics including power and timing to deviate from their nominal values. Accurate modeling of variations is important for several reasons, including design-time analysis, manufacturing yield maximization, and also for post-silicon optimization. The latter is especially important for reconfigurable devices where variation-aware post-silicon tuning methods have shown to be effective [21].

Recent years have witnessed an explosion of statistical models for CMOS process/performance variations [1, 3, 4, 5, 8, 10, 13, 14, 15, 19, 23]. Whereas early methods characterized process fluctuations as sum of inter-die (global) and independent intra-die (random) variations [4], later models extended this work by assuming the intra-die variation to be spatially correlated [1, 5]. Studies of spatially correlated process variations on a single die can be placed into one of two categories. In grid-based approaches [1, 5, 6], one assumes that the process variations are stationary within regions defined by a pre-specified grid, e.g., uniform, non-uniform, or a quadtree decomposition. The other class of methods assume that the process variations can be modeled as a stationary zero mean random field with an additive baseline component that accounts for systematic shifts in the mean of the process. In this case, non-stationarities are assumed to arise solely from smooth variations in the baseline component across the extent of the die.

While such assumptions may hold when profiling certain classes of variations, many studies now suggest that spatial variability across the extent of the wafer and within a single die are likely to be highly non-stationary [7]. Furthermore, transitive shifts in the mean of the process variations have been observed in contact plug resistance [2] and in timing variability on FPGAs [16], invalidating the assumption that non-stationarities arise from a smooth baseline component.

In order to provide a compact spatial model for non-stationary process variations, we introduce a new modeling framework that aims to bridge the gap between previous work where either a continuous model or a discrete grid-based model is employed. Our proposed hybrid spatial model provides a succinct representation of the spatially varying random field by modeling it as a union of non-overlapping regions wherein the process can be assumed to be locally-stationary.

To detect the intervals (time-series data) or the regions (2D random fields) over which the process is locally-stationary, change-point detection is typically employed. However, these methods require an estimate of the distributions that the process is assumed to switch between. Furthermore, these methods assume that the number of change points is known a priori.

In this paper, we develop a set of techniques for efficient change-point detection when the number of change points and the underlying distributions of the process is unknown. In contrast to general change-point detection problems, in the case of modeling pro-
cess variations, there are many settings where layout and mask-dependent variations will naturally produce a partitioning of the space into disjoint regions. For instance, when the spacing between logic blocks exceeds a threshold, a step-wise transition occurs in the mean of the variations across this boundary and the resulting processes in each region can be treated as independent. In this case, the points where transitive shifts in the mean of the process occur can be used to specify regions where the process may be assumed to be locally-stationary.

We introduce a robust technique for extracting sharp transitions in the mean of the process by solving a total variation (TV) minimization problem that finds the minimum number of transitions that can explain the variations within some appropriate range of fidelity. Following this, we introduce a clustering method for partitioning the chip into a number of non-overlapping regions by exploiting the structure of the signal extracted by the TV minimization procedure. Finally, we present methods for obtaining quantitative measure of stationarity within a random field in order to test the degree to which the process is stationary over a particular region. To the best of our knowledge, our work is the first to propose a procedure for efficient change-point detection in order to find an anisotropic grid over which the process can be assumed to be stationary.

To demonstrate the power of our proposed modeling framework, we study process variations resulting from timing variability in 65nm FPGA technology. We find that detecting transitions in the process mean is sufficient to provide an sufficient statistic needed to detect the change-points in our random field.

Our explicit contributions are as follows: (i) the introduction of a hybrid modeling framework that weds previous continuous and grid-based approaches, (ii) the development of a variational method for detecting sharp transitions in the process mean, (iii) methods for partitioning the chip into non-overlapping regions, (iv) the development of a quantitative measure of stationarity in a random field.

2. BACKGROUND

Process variations arise from imperfections that lead to both random and spatially uncorrelated variations on wafer as well as systematic and correlated variations. The uncorrelated random variations are typically caused by the fundamental intrinsic atomic-scale randomness of the devices and materials. Systematic correlated components comprise the identifiable portion of the variations that arise from unintentional shifts in processing conditions such as mask errors, lithographic off-axis focusing, and reticle stepper alignment errors. Line edge roughness, variations in channel length and width, variations in gate oxide thickness, energy level quantization belong to this category [17].

There has been a large body of research on modeling process variations. Existing spatial models for process variations can be categorized as either continuous or discrete models. In discrete or grid-based models, the die area is divided into square regions over which the variation is assumed to be constant. Principal component analysis [5], Quad-tree [1], and grid based methods [6] are among the discrete modeling approaches. The work in [6] introduces a grid-based approach where each grid contains a few devices (gates). The parameter variation of devices within the same grids are completely correlated, while those in adjacent grids are highly correlated and those in non-neighboring grids are uncorrelated.

In contrast, continuous models treat the entire die as a continuous random field. These models assume that all non-stationarities in the random field are due to a drift or baseline component that smoothly varies across the extent of the die. This suggests that once the mean or the baseline component is removed, the resulting process is stationary. In [14], the author models the systematic variations as the sum of a first order plane and a spatially correlated 2D gaussian process, and a truly random component. The first order plane accounts for the long range trend component of systematic variation. Any additional trends in the data are later removed with a median polishing algorithm. A Generalized Least Square fitting framework is then used to fit all of the parameters in the model. In a similar attempt in [20], the correlated within-die variation is represented by a two dimensional quadratic polynomial surface, and the model is applied to the timing data collected from an array of ring oscillators on FPGA. The authors in [11] introduce a method for extracting spatial correlation by investigating which spatial correlation functions result in a positive semidefinite correlation matrix. They assume the systematic variation can be predicted with the full knowledge of process steps and instead focus on modeling the random correlated portion of the variation.

In a recent study on measured data from 45nm wafers, the authors demonstrate that different locations on the chip may have very different means and variance, and such variations are more apparent with increasing the chip size [7]. Furthermore, they demonstrate that the correlated variation is mainly due to across-wafer variation and across-field variations on the scale of a single die. To further support claims that process variations exhibit non-stationary behavior, it has been observed that components that lie within the center of the chip exhibit lower variance than components at chip boundaries due to variability in process control.

3. HYBRID MODELS FOR NON-STATIONARY RANDOM FIELDS

In this section, we introduce a novel framework for modeling non-stationary random fields. Let us begin by modeling a continuous random field \( Z \in \mathbb{R}^2 \) as consisting of two additive components, \( Z = A + N \), where \( A \) is an additive component containing systematic variations in the mean of the process and \( N \) is a spatially correlated zero-mean random field that could exhibit non-stationary behavior. From this point forward, we will refer to \( A \) as the baseline, trend, or drift component.

Whereas previous approaches have assumed that after estimation and removal of the baseline component \( A \), the resulting random field is stationary, we assume that the non-stationary behavior of process variations can be attributed to both: (i) systematic shifts in the mean of the process and/or (ii) non-stationarities in the spatially correlated random field. To provide a compact model to account for both of these sources of non-stationarity, we model the continuous random field as a union of non-overlapping regions where the process is locally-stationary within each of the regions. In contrast to grid-based approaches that assume the process is stationary within a square (isotropic) region in the grid, here, we will assume that the chip can be divided into a number of anisotropic regions (with edges at either \( 0^\circ \) or \( 90^\circ \)). We will refer to this type of model as a hybrid spatial model.

In order to model the continuous random field \( Z \), we first collect a set of samples from the field at discrete points in space. For a particular point in space with coordinates \((x_i, y_j)\), we obtain a single measurement \( z_{ij} = Z(x_i, y_j) \in \mathbb{R} \). If we sample the field on a grid of \( n_1 \times n_2 \) points in space, this collection of measurements can either be written as a matrix \( Z \in \mathbb{R}^{n_1 \times n_2} \) or we can reorder the entries and stack them into a vector, which we will denote as \( z \in \mathbb{R}^n \), where \( n = n_1 n_2 \). We will use this vector and matrix notation interchangeably.

To estimate the parameters that specify our hybrid model, we must first estimate (i) the minimum number of regions required to provide an accurate characterization of the field, (ii) the bound-
aries for all $k$ regions in our model $\{R_i\}_{i=1}^k$, and (iii) the mean and autocorrelation of the random field within each of the specified regions. Upon estimating these parameters our final hybrid spatial model can be expressed as, $\mathcal{H}(Z) = \{Y_i, R_i\}_{i=1}^k$, where each local process is defined according to its mean and autocorrelation, $Y_i = (\mu_i, \Sigma_i)$, and $\mu_i$ and $\Sigma_i$ are the mean and autocorrelation for the local process $Y_i$ defined over the region $R_i$. Due to our assumption that all of the regions are non-overlapping, $R_i \cap R_j = \{\emptyset\}, \forall i \neq j$.

4. VARIATIONAL METHODS FOR DRIFT ESTIMATION

In the previous section, we introduced our hybrid model for non-stationary process variations. The first step in specifying this model is to detect sharp transitions in the process mean that could be due to layout-dependent effects as well as variability introduced by the mask structure. In this section, we will introduce a method for estimating these change points in the mean by assuming that the baseline of our variations exhibit 2D piecewise constant structure.

To extract the 2D baseline component from a set of measurements $Z$, we propose the use of regularized TV norm minimization. This method has been used in a number of settings where piecewise smooth signal components must be separated from noise [18] and also in geometric separation tasks where natural image content must be extracted from images consisting of a combination of image content and periodic texture [12]. More recently, TV minimization has been applied to the recovery of images from compressive measurements [22]. In all of these settings, knowledge that the signal of interest has a sparse gradient field can be leveraged to recover an approximation to the signal from incomplete or noisy measurements.

To motivate the use of total variation for drift estimation, we point the reader to Figure 1 where we show a piecewise constant signal in black and the same signal with additive noise in red. Above this plot, we also show the result of a differencing operation over each of these signals, where the difference signal $g(y)$ can be written as:

$$g(y) = y(i+1) - y(i), \quad \forall i \in \{1, \ldots, n-1\},$$

for a vector $y \in \mathbb{R}^n$. We see that the non-zero elements of the difference signal $g(y)$ are sparse, with non-zeros only occurring at the step-like transition points. Thus, we say that the original piecewise constant signal $x$ exhibits a 2-sparse difference vector $g(x)$, where the non-zero entries correspond to the locations where transitions occur. When no noise is present, finding the change points from the difference signal is easy. However, when noise is introduced into the piecewise constant signal, the resulting difference vector is no longer 2-sparse but has two large non-zero components and a number of smaller non-zero components.

In order to find an approximation to a noisy signal $y$ that also exhibits a sparse difference vector (small total variation), we aim to tradeoff the error in our final representation with the sparsity of the difference vector for our estimate. Finding the sparsest approximation of our signal (as measured by the $\ell_0$-norm or number of non-zero coefficients in the signal) in NP hard, however, we may replace the $\ell_0$ penalty with an $\ell_1$ norm, resulting in a convex problem that has a unique and global minimizer.

By adding a sparsifying penalty to our objective function, we aim to minimize a combination of the $\ell_2$ error and the total variation of the signal,

$$\hat{x} = \arg\min_x \|y - x\|_2^2 + \lambda \|g(x)\|_1,$$

where $\lambda$ can be chosen by cross-validation or by employing any number of information criterion for model selection. We note that in many cases, choosing this parameter can be challenging; however, in practice, the sparse gradient fields recovered by this algorithm tend to be quite robust across different choices of $\lambda$.

Upon solving problem 2 for the noisy signal in Figure 1 for different values of $\lambda$, we obtain approximations to the noisy signal that have small TV norm. These approximations are shown in blue and green for higher and lower regularization parameters respectively. We find that for sufficiently large $\lambda$ we obtain an approximation of the underlying piecewise constant signal that corresponds very closely to the original signal.

Now that we have motivated the use of TV minimization for recovering piecewise-constant signals, we now extend the TV minimization problem defined for 1D signals to the two-dimensional setting. In this case, we can define the anisotropic TV norm of an arbitrary matrix $X \in \mathbb{R}^{n_1 \times n_2}$ as:

$$TV(X) = \sum_{j=1}^{n_2-1} \sum_{i=1}^{n_1-1} |X_{i,j} - X_{i+1,j}| + |X_{i,j} - X_{i,j+1}|, \quad (3)$$

where $X_{i,j}$ is the $(i,j)^{th}$ entry of the matrix $X$.

In words, the anisotropic total variation of a 2D function measures the amount of absolute variation between the intensity at single point in space and points directly below and to the right. By treating the variation in each direction independently, we obtain a measure of the anisotropic total variation of the images. We note that due to the rectangular packing of chips, the anisotropic total...
variation produces a more accurate estimate of the baseline signal, however, there is an equivalent definition for the isotropic TV in 2D that can be used to recover smoothly varying trend components.

To find an approximation to a piecewise constant 2D signal $A$ from a collection of observations $Z = N + A$, we can regularize the growth of the TV norm—to encourage the selection of signals with sparse gradient fields—while trading off the total $\ell_2$ error in the approximation by varying $\lambda$. To do this, the following convex optimization problem can be solved for a particular value of the regularization parameter $\lambda$:

$$\hat{a} = \arg \min_a \|z - a\|^2 + \lambda TV(A),$$

where the $\ell_2$ term measures the total point-wise error incurred by approximating the measured signal $z \in \mathbb{R}^N$ by $\hat{a}$ and the TV term regularizes (penalizes) growth in the anisotropic TV of the estimated 2D baseline component $A$. We note that $\lambda$ provides an additional degree of freedom in the solution of this convex problem. As $\lambda$ increases, the resulting approximation has lower total variation and hence a sparser gradient field.

5. METHODS FOR GRID DETECTION

In the previous section, we demonstrated that TV minimization can be used to obtain robust estimates of the change-points in the process mean. The next step is to use the baseline signature extracted by our TV procedure to provide a partitioning of the chip into non-overlapping regions. To do this, we employ a standard clustering procedure called k-means. Following this, we introduce a method for obtaining a quantitative measure of stationarity within each region obtained from the clustering procedure.

To determine a partitioning of the chip, we cluster the TV signature for different values of $k$, where $k$ corresponds to the number of regions the chip is divided into. An upper limit to the number of regions can be set by simply rejecting a clustering when the number of pixels per region is very small because in this case, the autocorrelation function can not be computed accurately. For a reasonable range of number of regions, for $k = 1, \ldots, k_{\text{max}}$ we can determine how good the partitioning is by measuring the stationarity within each region. In Figure 2, we show raw data collected from a FPGA, its TV signature, and the clusterings obtained for $k = \{2, 3, 4, 5\}$.

To make this precise, for each region, we sweep a $m \times m$ rectangular window across the region and collect $p$ autocorrelation matrices each of dimension $(2m - 1) \times (2m - 1)$. We can then reorder the resulting autocorrelation matrices and stack their entries into vectors, $c_i \in \mathbb{R}^N$, where $N = (2m - 1)(2m - 1)$, for all $i = \{1, \ldots, p\}$. To measure the similarity between the autocorrelation vectors computed for all sub-blocks within the region, we take normalized inner-products and stack them into a similarity matrix $G$, where the $(i, j)^{th}$ entry of the similarity matrix is given by:

$$G_{ij} = \frac{|c_i^T c_j|}{\|c_i\|_2 \|c_j\|_2}.$$

Finally, a quantitative measure of the stationarity for a region $R$ with similarity matrix $G \in \mathbb{R}^{p \times p}$, is defined as:

$$s(R) = \min_i \frac{1}{p} \left( \sum_{j=1}^{p} G_{ij} \right).$$

In other words, a measure of the stationarity of the field is defined with respect to the sub-block that has minimal correlation with all other $p - 1$ sub-blocks in the region.

6. EXPERIMENTAL RESULTS

For our evaluations, we measured the timing variability of twelve different FPGA Virtex 5 devices. To extract the delay measurements, we use the delay characterization system presented in [16]. In our implementation, we place a $32 \times 32$ array of at-speed delay test circuits on 12 Virtex 5 FPGA chips. Each test circuit is inserted inside two slices with a single CLB. The test circuit measures the effective delay of circuit under test which consists of 4 cascaded inverters. To measure the delay of the circuit under test, the clock frequency is continuously increased until the test circuit captures
timing failure at a rate of 50%. We use an ordinary desktop function generator to sweep the clock frequency from 10 – 15MHz and shift the base frequency 34 times using the PLL inside the FPGA. The measured effective delays have an accuracy of ±3 pico seconds.

After scanning across the entire array of CLBs, we obtain a $32 \times 32$ array of delay measurements, which we denote as $Z \in \mathbb{R}^{n_1 \times n_2}$, where $Z_{i,j}$ corresponds to the mean delay of the circuit inside the $(i,j)^{th}$ CLB. This array of non-zero real numbers can also be written as a vector, $z \in \mathbb{R}^{1024}$, by simply reordering the rows or columns of the matrix. In Figure 5, we show delay measurements obtained for six different Virtex 5 FPGAs.

After collecting the raw timing measurements, to solve problem (2) we used CVX, a package for specifying and solving convex programs [9] for $\lambda = \{0.02, 0.03, \ldots, 0.1\}$. We selected the TV signature that had the smallest TV norm but still contained enough structural information about regions on the chip, in this case $\lambda = 0.1$. Finally, we performed k-means clustering on the TV signature for $k = \{2, 3, 4, 5\}$. The results of this clustering procedure is shown in Figure 2. We note that a number of different regularization parameters yield very similar TV signatures and hence will produce very similar partitions. A more systematic selection of the regularization parameter should be studied further.

If we look at the physical layout of the chip, a number of the transitions that we detect with our algorithm actually correspond to locations where CLBs are spaced farther apart on the chip. This suggests that when the distance between logic blocks exceeds a certain threshold, this results in a sharp transition in the mean and variance of process variations as you traverse the boundary. This observation is in stark contrast to previous assumptions that the correlation between any two points in the random field is only dependent upon their distance. In addition to layout-dependent effects, we also observe transitions at points in the array that cannot be predicted by the layout. For the example in Figure 2, when the number of regions is set to 2, the resulting boundary exactly corresponds to a point where the spacing between CLBs is higher. When we consider 3 regions, we obtain a boundary that is not layout-dependent. Finally for 4 regions, we obtain another boundary that is layout-dependent. We note that although it may seem obvious that layout-dependent effects could induce sharp transitions in the variations within the circuit, we are not aware of any spatial models that exploit this fact. Furthermore, we hypothesize that for application-specific ICs that exhibit less regularity in their layout than FPGAs, one would observe even more transitions that are independent of the layout of the chip.

To demonstrate the fact that process variations can exhibit diverse autocorrelation structures, in Figure 3 we show the autocorrelation computed for six different sub-blocks of size $10 \times 10$ across different points in space. In the labeled regions (1) and (3) on the left, we see that each of these sub-blocks contain very similar autocorrelation structure (nearly white noise) and lie in the same region specified by our proposed grid detection methods. In region (2), we see that as the block is shifted to the left and is placed in between two distinct regions with different mean and variance, the autocorrelation exhibits very different structure. This last example demonstrates that when using a grid-based approach on a non-optimal grid, any estimates of the mean or variance within a suboptimal block will be biased. On the right, in regions (5) and (6), we show two sub-blocks with similar autocorrelation, even though block (5) has been slightly shifted outside of the region detected by our algorithm. However, when we look at region (4), we see that even for a small shift (2 CLBs) out of a region detected by our algorithm, we obtain a very different estimate of the autocorrelation.

To obtain a measure of the stationarity of the process within each of the $m_x \times m_y$ regions specified by our clustering procedure, we swept a $q \times q$ pixel window at 2 pixel shifts over each region of interest, where $q = \min(m_x, m_y, 10)$, and computed the autocorrelation within each sub-block. For all $p$ sub-blocks, we reordered each of the autocorrelation matrices to obtain $p$ autocorrelation vectors each of dimension $N$, where $N = (2q-1)(2q-1)$. Following this, we computed the normalized inner products between the correlation vectors in accordance with Equation 5.

In Figure 4, on the left, we show the similarity matrices computed for $10 \times 10$ blocks shifted across the entire chip and on the
right, we show the similarity between the autocorrelation functions computed within one of the larger blocks detected by our algorithm (the region containing sub-block (6) in Figure 3). We note that for autocorrelations computed over the entire chip, many of the correlation functions have very low correlation (i.e., 0.2) but for the autocorrelations computed within a single region, the correlations are much higher. When we compute the score as in 6 for each of these similarity matrices, we obtained scores of $s(\mathcal{R}) = 0.7059$ and $s(\mathcal{C}) = 0.3703$, where $s(\mathcal{R})$ is the stationarity measure for the region outlined in black and $s(\mathcal{C})$ is the stationarity measure computed for the entire chip.

7. CONCLUSIONS

In this paper, we presented a novel framework for modeling non-stationary spatial correlations in process variations. To overcome the limitations of previous models, we develop a hybrid model that takes into account non-stationarities arising from systematic variations and from non-stationarities in the residual spatially correlated random field. We go on to develop a TV denoising method for baseline estimation that exploits the transitive nature of process variations to recover a piecewise constant 2D baseline signal. After extracting this unique stepwise signature, we presented a method for optimal grid detection that leverages the fact that sharp transitions in the process mean are correlated with change-points in the variance of the process as well.

We validate our model on a study of timing variability across a family of Virtex 5 FPGAs. In this study, we find that there is a great deal of structure inherent in the timing process variations across different regions of the chip. In particular, the chip can be easily divided into a number of non-overlapping anisotropic regions of different sizes, where in each region, the delays vary around a nearly constant value. We apply our proposed methods for change-point and grid detection to this data and find that the partitions we recover correspond to regions where the process variations are locally-stationary. Although we have only observed these trends in timing data collected from FPGAs, we expect that a discrete grid-like structure would also be observed in other types of process variations and for other CMOS technologies due to the rectangular layout of chips and mask-dependent effects. If step-wise structure is a ubiquitous feature of process variations on ICs, then the development of methods for automatic extraction of this type of structure is essential for the computation of accurate and robust spatial models for process variations. To the best of our knowledge, this work is the first to address modeling non-stationary random fields arising from process variations and to provide methods for change-point detection in this setting.

8. REFERENCES


